

## AGREEMENT ON GRANTING PROFESSIONAL STATUS TO A CLIENT

Rīga, \_\_\_\_\_

\_\_\_\_\_ 20\_\_

### The Client, on one side,

Name, surname / Name of the legal person	
Personal identity number (residents of the Republic of Latvia) or date of birth (if no personal identity number has been assigned) / registration number	Passport series and number (for natural persons)
Passport issue date / Registration date	Passport issuer country / Country of registration of the legal entity
Address of place of residence / Registered office	
Client's representative	Authority of the Client's representative based on

### The Bank, on the other side,

<b>AS NORVIK BANKA</b> , E.Birznieka – Upiša iela 21, Rīga, Latvia, unified reg. No. 40003072918, the Commercial Register of the Enterprises Register of the Republic of Latvia	
Bank's representative (name, surname)	The Bank's representative acts based on

hereinafter the Bank and the Client jointly referred to as the Parties, sign this Agreement on Granting Professional Status to a Client:

1. The Bank, based on the information provided by the Client, shall grant the status of professional client to the Client and the Client accepts this status.
2. The Client acknowledges that prior to granting the status of professional client, the Bank has informed him in writing on the investor protection rights which the Client may forfeit in the status of professional client; the Client has received this warning and understands the consequences of the forfeit of these rights.
3. The Client is aware that the Bank, upon receipt of information that the Client no longer meets the requirements for professional client status, may adopt a decision on the recall of this status and inform the Client of this in writing.
4. The Client undertakes to provide the Bank with any information on changes in his operations which may influence the conformity of the Client with the requirements of professional client status.
5. This Agreement becomes valid on the day of its signing by both Parties and shall be in force while the Bank provides brokerage services to the Client in accordance with the provisions of the Contract on Brokerage Services and as long as the Client holds the status of professional client.
6. The provisions of this Agreement shall be interpreted together with the provisions of the Contract on Brokerage Services, and any questions arising from this Agreement and not regulated herein shall be subject to the provisions of the Contract on Brokerage Services.
7. This Agreement is drafted and signed in 2 (two) identical copies, one for each Party.

### Signatures of the Parties

THE CLIENT	THE BANK
<div style="display: flex; justify-content: space-between;"> <div style="width: 40%;">seal</div> <div style="width: 50%; border-bottom: 1px solid black; text-align: center;">(name, surname)</div> </div>	<div style="display: flex; justify-content: space-between;"> <div style="width: 40%;">seal</div> <div style="width: 50%; border-bottom: 1px solid black; text-align: center;">(name, surname)</div> </div>
<div style="border-bottom: 1px solid black; text-align: center; margin-top: 10px;">(signature)</div>	<div style="border-bottom: 1px solid black; text-align: center; margin-top: 10px;">(signature)</div>